| Substitute for form 1449/PTO | **Complete if Known** | |
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| **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** *(Use as many sheets as necessary)* | Application Number | |
| | Filing Date | |
| | First Named Inventor | *Hui Peng* |
| | Art Unit | |
| | Examiner Name | |
| Sheet | of | Attorney Docket Number | |

## U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Document Number — Number-Kind Code[2] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| | | US- 6495867 B1 | Dec. 17, 2002 | Changhua Chen, et al | 3 pp. |
| | | US- 6233265 B1 | May 15, 2001 | Bour, David P. et al | 14 pp |
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## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Foreign Patent Document Country Code[3]‑Number[4]‑Kind Code[5] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
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# In the United States Patent and Trademark Office

Serial Number: _____

Appn. Filed: _____

Applicant(s): *Hui Peng*

Appn. Title: *Textrure on Substrate and a Method for Localizing and Minimizing Effects of Lattice Mismatch*

Examiner/GAU: _____

## Disclosure Document Reference Letter

Date: *11/26/03*

Assistant Commissioner for Patents

Washington, District of Columbia 20231

Sir:

A disclosure document as identified below was previously filed in the Patent and Trademark Office. As this disclosure relates to the above patent application, applicant(s) request that this Disclosure Document be retained and referenced to the above application.

Disclosure Document Title: *EPI White LED Wafer*

Disclosure Document Number: *532519*

Disclosure Document Filing Date: *June 2, 2003*

Very Respectfully,

_____      _____

Signed Name      Signed Name

*Hui Peng.*

Printed Name, First Applicant      Printed Name, Joint Applicant

_____      _____

Address of First Applicant      Address of Joint Applicant

_____      _____

**Form 3-4**